

Title 15 – Business Regulations

Chapter 11 – Dental Health Provider Licensing

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Legislative History

Enacted:

- Ordinance 431 Amending STC Title 15, Chapter 11 (11/8/22).
- Ordinance 423 Amending STC Title 15, Chapter 11 (11/2/21).
- Ordinance 381 Amending STC Title 15, Chapter 11 (8/14/18).
- Ordinance 376 Amending STC Title 15, Chapter 11 (3/14/18).
- Ordinance 375 Amending STC Title 15, Chapter 11 (11/7/17).
- Ordinance 372 Amending STC Title 15, Chapter 11 (9/11/17).

Ordinance 367 Amending STC Title 15, Chapter 11 (5/2/17), BIA (5/16/17).
Ordinance 363 Amending STC Title 15, Chapter 11 (11/1/16), BIA (11/16/16).
Ordinance 355 Amending STC Title 15, Chapter 11 and Ordinances 351 and 354 (11/3/15), BIA (11/12/15).
Ordinance 354 Amending STC Title 15, Chapter 11 and Ordinance 351 (10/6/15), BIA (10/20/15).
Ordinance 351 Adopting Dental Health Provider Licensing Code (9/1/15), BIA (9/10/15).

Subchapter I – General Provisions

15-11.010 Title.

This Chapter shall be known as the Swinomish Indian Tribal Community Dental Health Provider Licensing and Standards Code.

[History] Ord. 351 (9/1/15).

15-11.020 Purpose and Scope.

The general purposes of this Chapter are:

- (A) To establish a regulatory and licensing system for dental health providers that is based upon and reflects the priorities and values of the Swinomish Indian Tribal Community;
- (B) To regulate dental health providers to protect the health, safety and welfare of the Tribe and its members, as well as the health, safety and welfare of tribal members of other federally recognized tribes in Washington and Oregon whose dental health providers are licensed by the Tribe under this Chapter;
- (C) To assure the patients of dental health providers, the community at large, the Health Program and Dental Services Program, health plans, dental health programs of other federally recognized Indian tribes in Washington and Oregon, government agencies and programs, insurers and other entities that provide payment or reimbursement for the cost of dental health services that adequate minimum standards are established, maintained and adhered to by all dental health providers;
- (D) To set standards for dental health providers;
- (E) To license individuals as dental health providers to engage in specific scopes of practice as authorized under this Chapter;

- (F) To assure that dental health services are provided consistent with best practices, and are culturally appropriate;
- (G) To promote and expand access to adequate numbers of dental health providers;
- (H) To establish a legal and regulatory structure that will enable operation of the Swinomish Dental Clinic, and the dental health programs of other federally recognized Indian tribes in Washington and Oregon, to provide the highest quality dental services in the most efficient and culturally competent manner, making optimal use of the skills of Tribal employees, and those dental health providers licensed by the Tribe and employed by other federally recognized tribes in Washington and Oregon, in order to maximize the health care resources available to the Tribe and throughout Indian Country in Washington and Oregon;
- (I) To authorize the certification of a qualified Dental Therapy Education Program; and
- (J) To reduce and then eliminate disparities in access to dental health care and in oral health experienced among Tribal members and other American Indians and Alaska Natives.

[History] Ord. 431 11/8/22); Ord. 372 (9/11/17); Ord. 351 (9/1/15).

15-11.030 Authority.

This Chapter is enacted pursuant to Article VI, Section 1(k) and (s) of the Swinomish Constitution and By-Laws originally ratified by the Tribe on November 16, 1935 and approved by the Secretary of the Interior on January 27, 1936, and as most recently amended on July 7, 2017, and the inherent sovereign authority of the Swinomish Indian Tribal Community; rights reserved in the Treaty with the Dwamish, Suquamish, etc., (“Treaty of Point Elliott”), 12 Stat. 927 (January 22, 1855), and such other powers as have been delegated to, vested in, or confirmed in the Tribe through the actions of the United States.

[History] Ord. 372 (9/11/17); Ord. 351 (9/1/15).

15-11.040 Findings.

The Swinomish Indian Senate finds that:

- (A) The Tribe is a Federally-recognized sovereign with the right and responsibility to promote, protect and improve the health and welfare of its members, and to enhance the quality of the lives of all of its members by providing a combination of economic opportunities and a safety net of social services.

- (B) The Tribe provides services and programs for Tribal members including education, housing, senior services, and medical and dental services.
- (C) Oral health is necessary for overall health. Chronic pain, missing teeth, infections and life-threatening emergencies can result from poor oral health care.
- (D) American Indian and Alaska Native people have a higher prevalence of dental caries and untreated tooth decay in all age groups compared to the general population. American Indian and Alaska Native children ages two to five have nearly three times the United States average rate of dental decay.
- (E) Indian Health Service (“IHS”) 2014 data shows that in the Portland IHS Area, 68 percent of those American Indian and Alaska Native children ages three to five screened had decay experience, and 35 percent had untreated decay.
- (F) Oral health care disparities are attributable to factors including a lack of consistently available dental health professionals in the Indian health system: in the general population there are an average of 1,500 patients per dental provider, while the Swinomish Dental Clinic has an average of more than twice the patients per dental provider.
- (G) The Senate wishes to increase the number of Native health care providers generally and Swinomish health care providers in particular who provide services to Tribal members and other Native Americans.
- (H) The Alaska Native Tribal Health Consortium (“ANTHC”) developed a program to train and utilize dental health aide therapists (“DHATs”) in Alaska Native tribal health programs, which has operated successfully for more than ten years:
 - (1) The DHATs trained by ANTHC are 88% Native/12% non-Native.
 - (2) The ANTHC educational program completion rate is 64% (compared to US 2-year college completion rate of about 30%).
 - (3) The average number of years a DHAT has been in the job: 5.1 years.
 - (4) Seventy-eight percent of DHATs practice in their village or region of origin.
 - (5) The DHAT retention rate is 81% over 10 years.
 - (6) There are now 30 certified DHATs and six more have completed their education programs and are in preceptorships.
 - (7) Thirty-five DHATs have graduated from the Alaska DHAT Educational Program.

- (8) Alaska DHATs serve 81 communities, mostly remote villages.
 - (9) In an investigation by the Centers for Disease Control, only 50% to 60% of 6-year-olds in villages without DHATs saw a dental practitioner during the study year, but in a village where a DHAT worked, all 6-year-olds saw a dental practitioner.
 - (10) On average, a DHAT sees 800 unique patients per year over 1200 visits. 700 of those visits are preventive in nature.
 - (11) More than 45,000 people living in remote villages now have regular access to dental care because their community has a DHAT.
 - (12) Typically, DHATs bring in \$150,000 to \$250,000 more per year than it costs to employ them.
 - (13) Each DHAT saves the Alaska Tribal Health system and the Medicaid program more than \$40,000 per year in patient travel because patients can get dental care where they live and don't have to travel for it.
 - (14) The average salary of a dental therapist in Alaska is about half that of a dentist: \$60,000-\$80,000 per year versus \$120,000-\$140,000 per year.
- (I) A study by the University of Washington School of Dentistry published in 2016 found that over 10 years, villages in the Yukon Delta in Alaska with DHATs, when compared to villages without DHATs, experienced the following outcomes:
- (1) a 284% decrease in first four front teeth extractions for children under 3 years old,
 - (2) a 26% decrease in extractions for adults,
 - (3) a 60% increase in access to preventative care for children,
 - (4) a 75% increase in access to preventative care for adults,
 - (5) a 44% decrease in the need to put children under general anesthesia for full mouth rehabilitations.
- (J) The IHS's Community Health Aide Program Certification Board ("CHAPCB") standards for Dental Health Aides, including DHATs, were adopted in 2002. DHATs have practiced in accordance with international and IHS standards for mid-level dental practice since 2004. The ANTHC training program, which developed its two year curriculum in conjunction with the University of Washington, satisfies all of the CHAPCB *Standards and Procedures*. The Alaska

Tribal Health System Dental Health Aide Program and the CHAPCB standards are consistent with and will further the Senate's goals for a Tribal dental health program, operation of the Swinomish Dental Clinic, and the Senate's desire that the Tribe serve as a regional licensing entity to help increase the number of Native health care providers and to address oral health care disparities attributable to the lack of consistently available, culturally competent, long-term dental health professionals in the Indian health system throughout Washington and Oregon.

- (K) The CHAPCB *Standards and Procedures*, initially developed by IHS consistent with international standards for mid-level dental providers, have evolved since 2002 as experience has been gained utilizing such providers in Native communities. The CHAPCB *Standards and Procedures* serve as a well-informed and time-tested resource and inspiration for development of, and partial incorporation into, Swinomish licensing standards.
- (L) Oral health care services are most appropriately delivered by an effective, safe and culturally competent workforce including, but not limited to: dentists, dental hygienists, dental therapists, and dental health aides ("DHAs").
- (M) More than half of the procedures currently performed by a dentist at tribal dental health care programs can be performed at lower cost by a dental therapist acting within the scope of his or her certification or Tribal license, meeting the same standard of care as a dentist, at a lower cost, increasing access to more members and using the limited financial resources of those tribal dental health care programs more efficiently.
- (N) The Senate desires the Swinomish Dental Clinic to provide the highest quality dental services in the most efficient and culturally competent manner, making optimal use of the skills of Tribal employees in order to maximize the health care resources available to the Tribe and Tribal members. After reviewing the situation carefully, the Senate has concluded that the licensing and employment of dental therapists and DHAs will substantially and materially assist in reaching that goal.
- (O) Since 2015, the Swinomish Division of Licensing has successfully licensed dental health providers practicing at the Swinomish Dental Clinic. The licensing program has been strengthened by amendments to this Code recommended by the Division of Licensing and the Dental Health Provider Licensing Board.
- (P) Since January 2016, a Swinomish-licensed Dental Therapist has successfully provided services at the Swinomish Dental Clinic and in the Swinomish community.
- (Q) The Senate desires for the Division of Licensing and the Dental Health Provider Licensing Board to serve as a regional licensing body for other tribal dental health programs operated by federally recognized tribes in Washington and Oregon, to

help make it possible for those programs to provide the highest quality dental services in the most efficient and culturally competent manner in their communities, making optimal use of the skills of their employees in order to maximize the health care resources available to their tribe and tribal members. After reviewing the situation carefully, the Senate has concluded that the licensing of Tribal Dental Health Providers for employment by other federally recognized tribes' dental health programs in Washington and Oregon will substantially and materially assist in reaching that goal.

- (R) There have not been educational programs to train Dental Therapists in the State of Washington, and the distance to and remoteness of the Dental Therapy Education Program in Alaska can be a barrier to student participation in and completion of that program.
- (S) The Senate wishes to make a Dental Therapy Education Program more accessible to students who will receive training and be able to provide culturally responsive services to patients, specifically to Tribal members and other Native Americans and underserved populations.
- (T) The Senate concluded that Tribal development of, and participation in, a Dental Therapy Education Program on the Reservation and nearby in Skagit County will reduce barriers to student participation in and completion of the program, and will increase the availability of culturally responsive services.
- (U) The Dental Health Provider Licensing Board is qualified, by reason of education and experience, to evaluate and certify a Dental Therapy Education Program.

[History] Ord. 431 (11/8/22); Ord. 372 (9/11/17); Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.050 Incorporation by Reference.

Unless stated otherwise or inconsistent with any provision of this Chapter, the requirements for certification and practice of Dental Health Aides in the CHAPCB *Standards and Procedures*, as amended January 22, 2015, or subsequently amended, are incorporated by reference into this Chapter where indicated and shall apply as if set forth herein; *provided*, however, that in the event of a conflict or inconsistency between the CHAPCB *Standards and Procedures* and this Chapter, the provisions of this Chapter shall control. These *Standards and Procedures* may be referred to as "CHAPCB" and shall be cited as "CHAPCB" followed by the applicable section number. The *Standards and Procedures* may currently be accessed at <http://www.akchap.org/html/chapcb.html>.

[History] Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.060 Definitions.

- (A) For the purposes of this Chapter, unless explicitly stated otherwise, the following definitions shall apply:
- (1) **"Atraumatic restorative treatment"** means a maximally preventive and minimally invasive approach to stop further progression of dental caries. It involves the removal of soft, completely demineralized carious tooth tissues with hand instruments, and is followed by restoration of the cavity with an adhesive dental material that simultaneously seals the remaining tooth structure that remains at risk.
 - (2) **"Board"** means the Dental Health Provider Licensing Board established and administered pursuant to Subchapter II.
 - (3) **"Chairman"** means the Chairman of the Swinomish Senate, the governing body of the Swinomish Indian Tribal Community.
 - (4) **"Chief Dental Officer"** means the director of the Dental Service Program of the Swinomish Indian Tribal Community.
 - (5) **"Community health aide"** means a community health aide I, II, III, and IV, except when the level is specified.
 - (6) **"Contact hour"** means no less than 50 minutes of instructional or clinical time, provided that
 - (a) a course, seminar, or workshop offered or approved by an organization from which educational or continuing education requirements may be obtained will be accepted for the number of continuing education credits designated by the organization offering it; and
 - (b) academic credit will be converted to contact hours, as follows:
 - (i) one semester academic credit equals 15 contact hours;
 - (ii) one quarter academic credit equals 10 contact hours.
 - (7) **"Dental Health Aide"** means primary dental health aides I and II, dental health aide hygienists, expanded function dental health aides I and II, except as used in CHAPCB S&P Article 30 of Chapter 2 regarding requirements for special classes of dental health aides.
 - (8) **"Dental Health Aide Therapist"** means a dental health aide therapist as that term is used in the CHAPCB *Standards and Procedures*.

- (9) **“Dental Hygienist”** means a person who is either:
- (a) in the employ of the United States government, providing dental hygienist services in the discharge of official duties and licensed as a dental hygienist in one of the states or territories of the United States;
 - (b) in the employ of the Swinomish Indian Tribal Community or in the employ of a federally recognized Indian tribe in Washington or Oregon, providing dental hygienist services in the discharge of official duties, and is licensed as a dental hygienist in one of the states or territories of the United States; or
 - (c) licensed as a dental hygienist in Washington.
- (10) **“Dental Services Program”** means the dental program of the Swinomish Indian Tribal Community.
- (11) **“Dental Therapist”** has the same meaning as “dental health aide therapist” as that term is used in the CHAPCB *Standards and Procedures*.
- (12) **“Dental Therapy Education Program”** means an educational program that trains individuals as necessary to be licensed or certified, and to provide services, as a Dental Therapist.
- (13) **“Dentist”** means a person who is:
- (a) in the employ of the United States government, providing dental services in the discharge of official duties and licensed as a dentist in one of the States of the United States;
 - (b) in the employ of the Swinomish Indian Tribal Community or in the employ of a federally recognized Indian tribe in Washington or Oregon, providing dental services in the discharge of official duties and licensed as a dentist in one of the States of the United States; or
 - (c) licensed as a dentist by the State of Washington.
- (14) **“*dax̣̌x̣̌ayəbus* Dental Therapy Education Program”** means the Dental Therapy Education Program at the Swinomish Dental Clinic and Skagit Valley College.
- (15) **“Division of Licensing”** means the Swinomish Division of Licensing within the Department of Planning and Community Development.

- (16) **“Practice Agreement”** means a written agreement between a dental therapist and a supervising dentist that sets out the scope of practice or clinical privileges of the dental therapist, and includes “standing orders” as that term is used in the CHAPCB *Standards and Procedures*.
- (17) **“Preceptorship”** means a period of practical experience and training under either the direct supervision of a dentist or a dental therapist, dental hygienist or dental health aide hygienist who is under the overall supervision of a dentist.
- (18) **“Reservation”** means all lands and waters within the exterior boundaries of the Swinomish Indian Reservation of the Swinomish Indian Tribal Community.
- (19) **“Satisfactory Performance”** means demonstrating professional skills and knowledge with sufficient expertise to meet the applicable standard of care in a daily work situation.
- (20) **“Senate”** means the Swinomish Indian Senate, the governing body of the Swinomish Indian Tribal Community.
- (21) **“Session”** means a course offered by a CHA/P Training Center providing a curriculum approved by the Board.
- (22) **“Tribal Dental Health Aide”** means a Dental Health Aide (including a primary dental health aide I and II, dental health aide hygienist, and expanded function dental health aide I and II), as that term is defined in CHAPCB 1.20.010, who is licensed by the Tribe under this Chapter.
- (23) **“Tribal Dental Health Provider”** means a person who is licensed by the Tribe as a Tribal Dentist, Tribal Dental Hygienist, a Tribal Dental Health Aide or a Tribal Dental Therapist.
- (24) **“Tribal Dental Hygienist”** means a dental hygienist, as that term is defined under this Section, who is licensed by the Tribe under this Chapter.
- (25) **“Tribal Dental Therapist”** means a dental therapist who is licensed by the Tribe under this Chapter.
- (26) **“Tribal Dentist”** means a dentist, as that term is defined under this Section, who is licensed by the Tribe under this Chapter.
- (27) **“tribe”** or **“tribal”** means a federally recognized tribe in Washington or Oregon.

- (28) **"Tribe"** or **"Tribal Community"** means the Swinomish Indian Tribal Community.
- (B) For the purposes of this Chapter, unless explicitly stated otherwise, the following definitions of acronyms and of terms incorporating acronyms shall apply:
- (1) **"ART"** means atraumatic restorative treatment;
 - (2) **"BLS"** means Basic Life Support certification, which must include certification in cardiopulmonary resuscitation ("CPR") techniques based upon training equivalent to that required for completion of a CPR course certified by the American Heart Association or American Red Cross;
 - (3) **"CHAM"** means the *Alaska Community Health Aide/Practitioner Manual*, 2006 8 Edition, as revised, or its successor if approved by the CHAPCB;
 - (4) **"CHA/P"** means community health aide or community health practitioner;
 - (5) **"CHA/P Curriculum"** means the *Community Health Aide Basic Training Curriculum*, 1993, revised as of May, 1997, unless other revisions are adopted in which case "CHA/P Curriculum" will incorporate those revisions;
 - (6) **"CHP"** means community health practitioner;
 - (7) **"DHA"** means Dental Health Aide;
 - (8) **"DHA Advanced Dental Procedures"** means the curriculum set forth in CHAPCB S&P section 7.20.040 [DHA advanced dental procedures];
 - (9) **"DHA Core Curriculum"** means the curriculum set forth in CHAPCB S&P section 7.20.010 [DHA core curriculum];
 - (10) **"DHA Curriculum"** means a curriculum for training dental health aides approved by the CHAPCB pursuant to CHAPCB S&P Article 20 [DHA curricula] of Chapter 7 [certification of DHA training and curriculum];
 - (11) **"DHAH"** means dental health aide hygienist;
 - (12) **"DHAT"** means Dental Health Aide Therapist;
 - (13) **"EFDHA"** means expanded function dental health aide;

- (14) **"eLearning"** means formal instruction where students and instructors are separated by geography, time or both for the majority of the instructional period;
 - (15) **"EMT"** means Emergency Medical Technician;
 - (16) **"ETT"** means Emergency Trauma Technician;
 - (17) **"ISDEAA"** means the Indian Self-Determination and Education Assistance Act, Pub. L. 93-638, as amended, 25 U.S.C. § 450 et seq.; and
 - (18) **"PDHA"** means primary dental health aide.
- (C) All other words and phrases have their ordinary and customary meanings.

[History] Ord. 431 (11/8/22); Ord. 372 (9/11/17); Ord. 363 (11/1/16); Ord. 355 (11/3/15); Ord. 354 (10/6/15); Ord. 351 (9/1/15).

Subchapter II – Dental Health Provider Licensing Board

15-11.070 Establishment and Responsibilities.

There is established a Dental Health Provider Licensing Board, which shall have the duties, responsibilities and authority set forth below and as otherwise necessary to give effect to and implement this Chapter:

- (A) To consult with and advise the Division and, as appropriate, the Senate, including but not limited to guidance as to technical and policy matters arising under this Chapter, and recommendations as to potential amendments to this Chapter;
- (B) To review and approve, reject or modify the procedures and forms developed by the Division to carry out the purposes of this Chapter;
- (C) To conduct hearings and issue decisions on appeals when requested pursuant to Section 15-11.240;
- (D) To consult with persons or entities with specialized expertise or experience that may be beneficial to the Board in carrying out its responsibilities;
- (E) To advise the Division, Dental Services Program, Health Education and Social Services Committee and Senate, as appropriate, regarding matters related to the purposes and implementation of this Chapter;
- (F) To consult and enter into Memorandum of Agreement with other federally recognized Indian tribes in Washington and Oregon for the licensure of their tribally-employed dental health providers; and

(G) To evaluate and if appropriate certify a Dental Therapy Education Program.

[History] Ord. 431 (11/8/22); Ord. 372 (9/11/17); Ord. 351 (9/1/15).

15-11.080 Membership.

- (A) The Board established under Section 15-11.070 shall have at least five (5) members:
- (1) at least four members shall be appointed by the Chairman of the Senate, as follows:
 - (a) one member who is a dentist or other individual with experience in oral health education and training;
 - (b) one member who has education, experience and interest in, and who is committed to improvement in, oral health among American Indians and Alaska Natives;
 - (c) one member who is at the time of appointment the Chair of the Health, Education and Social Services (“HESS”) Committee;
 - (d) one member who is an enrolled member of the Tribe;
 - (2) the Chief Dental Officer or his or her representative.
- (B) The term of a Board member shall be five (5) years from the effective date of the member’s appointment.
- (C) The members of the Board shall select a chairperson and a vice-chairperson. The Board chairperson (and vice-chairperson in his or her absence) will chair meetings and shall make procedural determinations such as the scheduling of meetings and the content of meeting agendas.
- (D) A quorum of the Board shall be three (3) members.
- (E) The Board may convene Advisory Boards, the members of which shall be appointed by the Board Chair and which may be recommended by tribes at which dental providers licensed by the Tribe provide services.
- (F) The Board shall be advised by Tribal staff designated by the Chairman of the Senate.

- (G) Board members shall be eligible to receive reimbursement of mileage expenses and per diem in accordance with Tribal policy.

[History] Ord. 375 (11/7/17); Ord. 372 (9/11/17); Ord. 354 (10/6/15); Ord. 351 (9/1/15).

15-11.090 Meetings.

The Board shall meet as often as necessary to carry out its responsibilities in a timely manner and no less than once annually. Meetings shall be scheduled at the call of the Board chairperson or the request of the Division, and may be held at the Swinomish Indian Tribal Community or at another location as directed by the Board.

[History] Ord. 372 (9/11/17); Ord. 354 (10/6/15); Ord. 351 (9/1/15).

Subchapter III – Dental Health Provider Licensing Qualifications and Standards

15-11.100 Initial Application and Determination.

- (A) The Division shall issue a Tribal Dental Health Provider license to a person who
 - (1) applies and provides all information requested, and authorizations for disclosure of all information required, on a form provided by the Division, including but not limited to:
 - (a) dental education and training, including continuing professional education;
 - (b) current status of all professional licenses, CHAPCB certifications or other credentials applied for, granted, suspended or revoked, or other disciplinary action taken in connection with any such license, certification or credential, and identification of any currently pending disciplinary, suspension or revocation proceedings;
 - (c) any judgments entered against the applicant in any court or other tribunal;
 - (d) any claims alleging or finding professional negligence by the applicant that were paid, whether in whole or in part, whether with or without compromise, and whether with or without litigation, and any claims made and pending against the applicant for professional negligence whether or not filed in any court or tribunal;
 - (e) any criminal convictions in any court;
 - (2) furnishes evidence satisfactory to the Division that the person:

- (a) is of good moral character and is fit to practice as a Tribal Dental Health Provider;
 - (b) meets Tribal minimum standards of character for a position that involves regular contact with, or control over, Indian children or elders, as set forth in the applicable Personnel Policies and Procedures adopted by the Senate;
 - (c) has not engaged in conduct that is a ground for imposing disciplinary sanctions or been sanctioned under the provisions applicable to Dental Health Aides (including Dental Health Aide Therapists) set out in CHAPCB 4.10.010 Grounds for Discipline, provided that
 - (i) in CHAPCB 4.10.010 references to “the Board” shall be read to refer to “the Division,” references to a “certificate” shall be read to refer to a “license” and references to “certification” or “certified” shall be read to refer to “licensure” or “licensed”; and
 - (ii) in CHAPCB 4.10.010 references to “dental health aide” shall be read to include a dentist, hygienist or dental therapist who is licensed pursuant to this Chapter; and
 - (d) has not been convicted of violating Tribal laws regulating drugs, including but not limited to forging prescriptions or unlawfully distributing drugs or narcotics;
- (3) furnishes evidence satisfactory to the Division that the person has completed the training and education requirements for the Tribal Dental Health Provider license being sought, as follows:
- (a) for a Tribal Dentist, the requirements of Section 15-11.160;
 - (b) for a Tribal Dental Health Aide, the applicable requirements of CHAPCB 2.10.010(a)(5)(B);
 - (c) for a Tribal Dental Hygienist, the requirements of Section 15-11.170;
 - (d) for a Tribal Dental Therapist, the requirements of CHAPCB 2.30.600;
- (4) furnishes evidence satisfactory to the Division that at the time of consideration of the application:

- (a) the person is employed by the Tribe or is eligible for employment by the Tribe, subject to being qualified to be licensed; or
 - (b) The person is employed as a faculty member of the *dax'xayabus* Dental Therapy Education Program.
- (5) furnishes evidence satisfactory to the Division that the person will practice as a Tribal Dental Health Provider licensed pursuant to this Chapter only when:
- (a) employed by the Tribe; or
 - (b) employed as a faculty member of the *dax'xayabus* Dental Therapy Education Program and providing dental health services as part of training required, authorized, supervised, conducted and directed as part of and within the scope of the Dental Therapy Education Program within the confines of the Program facilities at the Swinomish Dental Clinic; *provided*, however, that the Dental Therapy Education Program has been and is certified by the Board.
- (6) furnishes evidence satisfactory to the Division that the person will practice as a Tribal Dental Health Provider only within the scope of practice and licenses granted to the person as specified in this paragraph:
- (a)
 - (i) for a Tribal Dentist those in Section 15-11.160;
 - (ii) for a Tribal Dental Health Aide those listed in CHAPCB 2.10.010(a)(8)(B) and Section 15-11.150 of this Chapter;
 - (iii) for a Tribal Dental Hygienist those in Section 15-11.170;
 - (iv) for a Tribal Dental Therapist those in CHAPCB 2.30.610(b) and Section 15-11.150 of this Chapter;
 - (b) except as required to satisfy the conditions for obtaining a license under this Chapter or when practice would be permitted under CHAPCB section 4.10.010(i)(2) or Section 15-11.120;
- (7) for a Tribal Dental Health Aide applicant, furnishes evidence satisfactory to the Division
- (a) that the person will practice only under supervision and day-to-day direction of individuals who satisfy the requirements of CHAPCB 2.10.010(a)(9)(A)(i) *provided, however*, that for purposes of this subsection the employment requirement in CHAPCB

2.10.010(a)(9)(A) shall be read to require that the supervising individual be employed by the Swinomish Indian Tribal Community;

(b) that the person will comply with the requirements of CHAPCB 2.10.010(a)(9)(B)(ii) and (C) and Sections 15-11.110 and 15-11-150; and

(8) for a Tribal Dental Therapist applicant, furnishes evidence satisfactory to the Division

(a) that the person will practice only under supervision and day-to-day direction of individuals who satisfy the requirements of CHAPCB 2.10.010(a)(9)(A)(i) *provided, however*, that for purposes of this subsection the employment requirement in CHAPCB 2.10.010(a)(9)(A) shall be read to require that the supervising individual be employed by the Swinomish Indian Tribal Community;

(b) that the person will comply with the requirements of CHAPCB 2.10.010(a)(9)(B)(ii) and (C) as applicable to Dental Health Aide Therapists and Sections 15-11.110 and 15-11-150; and

(9) furnishes evidence satisfactory to the Division that the person,

(a) if an applicant for licensure as a Tribal Dentist or Tribal Dental Hygienist, has satisfied all applicable continuing education requirements of each state in which the individual is licensed; or

(b) if an applicant for licensure as a Tribal Dental Health Aide or Tribal Dental Therapist, has satisfied the requirements set forth in CHAPCB Chapter 3 and Section 15-11.180.

(B) The Division may issue a provisional Tribal Dental Health Provider license to an applicant in order to allow for active observation of the applicant pursuant to Section 15-11.120. Upon completion of the period of observation, the Division shall either issue a license to the applicant or shall revoke the provisional license.

(C) The Division shall deny a Dental Health Provider's application for a license if the applicant does not provide all information, authorizations, and evidence required by the Division to demonstrate entitlement to a license pursuant to this Chapter. The Division shall give written notice to the applicant of the denial of the license application, and shall inform the applicant of the right to request a hearing in accordance with Section 15-11.240.

- (D) The Division may issue a Tribal Dental Health Provider license which contains limitations upon the scope of the applicant's authorized practice. The Division shall give written notice to the applicant of the imposition of such limitations on the scope of the authorized practice, and shall inform the applicant of the right to request a hearing in accordance with Section 15-11.240.
- (1) An individual whose Tribal license contains limitations upon the scope of authorized practice may, after having practiced pursuant to the limited Tribal license for no less than 90 days, request in writing that the Division modify or lift the license limitation. Any such request must be accompanied by a written statement from the supervising dentist supporting the modification or lifting of the limitation.
 - (2) Upon receipt of such a written request, the Division may lift completely or may modify the limitations imposed upon the license. The Division shall give written notice to the applicant of the modification of limitations on the scope of the authorized practice, and shall inform the applicant of the right to request a hearing in accordance with Section 15-11.240.
- (E)
- (1) All license applications, and documents and information concerning an application, submitted to or obtained by the Division pursuant to this Code shall be strictly confidential. The Division shall only release an application and related documents and information pursuant to a written authorization signed by the applicant, or pursuant to an order of the Swinomish Tribal Court, issued after notice to the Division and an opportunity to be heard upon the matter.
 - (2) The names of all individuals possessing an active license issued pursuant to this Code shall be made available to the public.
- (F)
- (1) A license issued pursuant to this Code shall be valid for a period of two calendar years from the date the license is issued, and shall expire on the second anniversary of the date of issuance. The Division shall inform the licensed dental provider of the expiration date of the license, and shall report periodically to the Board as to the status of issued licenses.
 - (2) A license may be renewed by the Division upon submission by the licensed dental provider of an application for renewal demonstrating continued satisfaction of all requirements in this Code for issuance of a license; *provided, however*, that an individual who was issued a license pursuant to 15-11.140(B) or (C) shall be eligible for renewal of the license notwithstanding the termination of the individual's CHAPCB certificate if such termination is solely the result of the cessation of employment for a Native health program in Alaska.

- (3) An application for renewal shall be submitted as directed by the Division to allow the Division to determine, prior to the date of expiration, whether the license will be renewed. An applicant for renewal shall provide all information requested, and authorizations for disclosure of all information required, by the Division, including but not limited to:
 - (a) dental education and training, including continuing professional education, since the issuance or most recent renewal of the applicant's Tribal Dental Health Provider license;
 - (b) current status of all professional licenses or CHAPCB certifications applied for, granted, suspended or revoked, or other disciplinary action taken in connection with any such license or certification, since the issuance or most recent renewal of the applicant's Tribal Dental Health Provider license, and identification of any currently pending disciplinary, suspension or revocation proceedings;
 - (c) any judgments entered in any court or other tribunal, or claims with or without litigation, alleging or finding professional negligence, since the issuance or most recent renewal of the applicant's Tribal Dental Health Provider license, and any claims made and pending for professional negligence whether or not filed in any court or tribunal;
 - (d) any criminal convictions in any court since the issuance or most recent renewal of the applicant's Tribal Dental Health Provider license.
- (4) A license that is renewed by the Division shall be valid for a period of two calendar years from the date the license is renewed and shall expire on the second anniversary of the date of renewal.
- (5) In the event that a license expires without renewal, the license will be inactive until an application for renewal is submitted and granted or otherwise acted upon by the Division. Any application for renewal of an expired and inactive license must be submitted to the Division no more than six months after the date of expiration of the active license. Any individual seeking licensure following expiration of such a six-month period must submit an application for a new active license pursuant to 15-11.100.

[History] Ord. 431 (11/8/22); Ord. 423 (11/2/21); Ord. 376 (3/14/18); Ord. 367 (5/2/17); Ord. 363 (11/1/16); Ord. 355 (11/3/15); Ord. 354 (10/6/15); Ord. 351 (9/1/15).

15-11.110 Supervision Requirements.

- (A) A Tribal Dental Health Aide must comply with
 - (1) the supervision requirements of CHAPCB 2.30.010 or any supervision requirements imposed as a condition of a Tribal license the Dental Health Aide holds; *provided, however*, that for purposes of this subsection the employment requirement in CHAPCB 2.10.010(a)(9)(A) shall be read to require that the supervising individual be employed by the Swinomish Indian Tribal Community; and
 - (2) any conditions imposed by the Dental Health Aide’s clinical supervisor or the Chief Dental Officer.

- (B) A Tribal Dental Hygienist must comply with
 - (1) any supervision requirements imposed as a condition of any State or Tribal license the Tribal Dental Hygienist holds; and
 - (2) any conditions imposed by the Tribal Dental Hygienist’s dental supervisor or the Chief Dental Officer.

- (C) A Tribal Dental Therapist must comply with
 - (1) the supervision requirements of CHAPCB 2.30.010 as applicable to Dental Health Aide Therapists or any supervision requirements imposed as a condition of a Tribal license the Dental Therapist holds; *provided, however*, that for purposes of this subsection the employment requirement in CHAPCB 2.10.010(a)(9)(A) shall be read to require that the supervising individual be employed by the Swinomish Indian Tribal Community; and
 - (2) any conditions imposed by the Dental Therapist’s clinical supervisor or the Chief Dental Officer.

[History] Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.120 Scope of Practice Prior to Licensing.

- (A) A person who is employed by the Tribe may perform services of a Tribal Dental Health Aide or a Tribal Dental Therapist prior to being licensed under this Chapter to the extent the services are performed:
 - (1) as part of training required for licensing;
 - (2) as part of a preceptorship required under CHAPCB sections 2.30.100(b), 2.30.220(c)(1)(B) or (c)(2), 2.30.230(d), 2.30.240(c)(1)(D) or (c)(2),

2.30.250(c), 2.30.260(d), 2.30.400(b), 2.30.500(b), 2.30.550(d), or 2.30.600(3);

- (3) as authorized by a provisional Tribal Dental Health Provider license issued pursuant to Section 15-11.100(B);
 - (4) by a person who has been previously certified as a Dental Health Aide or a Dental Health Aide Therapist by the Indian Health Service and is undergoing a further preceptorship so that the supervising dentist can evaluate his or her skills prior to making recommendations for licensing; or
 - (5) while an application for licensure is pending after successful completion of all required training and preceptorships.
- (B) A person who is a student in good standing in the *dax'xayabus* Dental Therapy Education Program may perform services of a Tribal Dental Therapist prior to being licensed under this Chapter to the extent the services are performed as part of training required, authorized, supervised, conducted and directed as part of and within the scope of the *dax'xayabus* Dental Therapy Education Program; provided, however, that the Dental Therapy Education Program has been and is certified by the Board.

[History] Ord. 431 (11/8/22); Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.130 Licensure by Credentials.

The Division may determine that one or more of the requirements of CHAPCB sections 2.30.100 through 2.30.610 have been met by a person who provides evidence satisfactory to the Division that the person has health care training, education and experience at least equivalent in scope, quality, and difficulty to those imposed under these sections, provided the applicant demonstrates to the satisfaction of the Division that the applicant is adequately familiar with the CHAPCB and will limit his or her practice to the scope of practice of a Tribal Dental Health Aide or Tribal Dental Therapist under this Chapter.

[History] Ord. 355 (11/3/15); Ord. 354 (10/6/15); Ord. 351 (9/1/15).

15-11.140 License by Reciprocity.

The Division may issue a Tribal Dental Health Provider license by reciprocity to an individual:

- (A) (1) who holds a current dental provider license of any type issued by any State, including dentists, dental hygienists, dental health aides and dental health aide therapists,

- (2) who holds a current Dental Health Aide certificate issued by the CHAPCB,
- (3) who holds a current Dental Health Aide Therapist certificate issued by the CHAPCB; provided, however, that the Division shall initially issue such an individual a provisional license effective for a period sufficient for the individual to successfully complete a preceptorship of at least 80 hours sufficient to demonstrate proficiency to the supervising Tribal Dentist, pursuant to Section 15-11.100(B) and in accordance with Subsections 15-11.120(C) and (D),
- (4) who holds a Dental Health Aide Therapist certificate issued by the CHAPCB that was terminated or not renewed no more than six months before the date of the application for a license under this Chapter; provided, however, that such termination or non-renewal is solely the result of the applicant's voluntary cessation of employment for a Native health program in Alaska; and provided further, that the Division shall initially issue such an individual a provisional license effective for a period sufficient for the individual to successfully complete a preceptorship of at least 80 hours sufficient to demonstrate proficiency to the supervising Tribal Dentist, pursuant to Section 15-11.100(B) and in accordance with Subsections 15-11.120(C) and (D),
- (5) who holds a Dental Health Aide Therapist certificate issued by the CHAPCB that was terminated or not renewed more than six months but no more than two years before the date of the application for a license under this Chapter; provided, however, that such termination or non-renewal is solely the result of the applicant's voluntary cessation of employment for a Native health program in Alaska; and provided further, that the Division shall initially issue such an individual a provisional license effective for a period sufficient for the individual to successfully complete a preceptorship of at least 200 hours sufficient to demonstrate proficiency to the supervising Tribal Dentist, pursuant to Section 15-11.100(B) and in accordance with Subsections 15-11.120(C) and (D),
- (6) who holds a Dental Health Aide Therapist certificate issued by the CHAPCB that was terminated or not renewed more than two years before the date of the application for a license under this Chapter; provided, however, that such termination or non-renewal is solely the result of the applicant's voluntary cessation of employment for a Native health program in Alaska; and provided further, that the Division shall initially issue such an individual a provisional license effective for a period sufficient for the individual to successfully complete a preceptorship of at least 400 hours sufficient to demonstrate proficiency to the supervising Tribal Dentist, pursuant to Section 15-11.100(B) and in accordance with Subsections 15-11.120(C) and (D), and

- (B) who otherwise qualifies to be employed as a Tribal Dental Health Provider, including satisfying applicable requirements of Sections 15-11.100, 15-11.150, 15-11.160 and 15-11.170.

A Tribal Dental Health Provider license issued by reciprocity shall be valid for the full scope of practice allowed by the individual's underlying State license or CHAPCB certificate, and shall similarly be subject to any limits or restrictions that apply to the individual's license or certificate; *provided, however*, that the Division shall not recognize or enforce any limits or restrictions of a State license or CHAPCB certificate that are contrary to Federal law, to the provisions of this Chapter or a license issued pursuant to this Chapter, or to other laws or public policy of the Tribe.

[History] Ord. 381 (8/14/18); Ord. 376 (3/14/18); Ord. 355 (11/3/15); Ord. 354 (10/6/15); Ord. 351 (9/1/15).

15-11.145 Limited Scope Teaching License.

- (A) Upon written request of the *dax'xayabus* Dental Therapy Education Program, the Division may issue a Limited Scope Tribal Dental Health Provider Teaching License to an individual who:
 - (1) holds a current license or is otherwise authorized to practice dentistry by any State, and
 - (2) has been contracted for employment by the *dax'xayabus* Dental Therapy Education Program as a teaching member of the faculty of the Dental Therapy Education Program.
- (B) A Limited Scope Teaching License issued pursuant to this Section shall permit the licensee to provide dental health services as part of training conducted by the *dax'xayabus* Dental Therapy Education Program within the confines of the Program facilities at the Swinomish Indian Tribal Community's Dental Clinic and Skagit Valley College Program.
- (C) The Board may condition the granting of a license under this Section upon terms or conditions that the Board deems appropriate.
- (D) All persons licensed under this Section shall be subject to the provisions of this Chapter and to the jurisdiction of the Board to the same extent as other Dental Health Professionals licensed by the Board, and the holder of a Limited Scope Teaching License may be investigated or disciplined, and have their license suspended or revoked, by the Board in accordance with the provisions of this Chapter.

- (E) A Limited Scope Teaching License shall be valid for a period of two years from the date of issuance; provided, however, that the license shall terminate earlier whenever the holder ceases to be employed as a faculty member at the dəxʷǰayəbus Dental Therapy Education Program. A Limited Scope Teaching License may be renewed for an additional two years in accordance with STC 15-11.100(F); provided, however, that a renewed license shall terminate earlier whenever the holder ceases to be employed as a faculty member at the dəxʷǰayəbus Dental Therapy Education Program.
- (F) A Limited Scope Teaching License shall permit the holder to provide dental services only in connection with his or her duties as a teaching member of the faculty of the dəxʷǰayəbus Dental Therapy Education Program and in the Program facilities at the Swinomish Dental Clinic and at Skagit Valley College. The limitation shall be stated on the license.
- (G) Persons applying for licensure or renewal pursuant to this Section shall pay the application or renewal fee ordinarily assessed by the Division for such an application.

[History] Ord. 431 (11/8/22).

15-11.150 Tribal Dental Health Aide Training, Education, Supervision and Competency Requirements.

A person who otherwise satisfies the requirements of this Chapter must also satisfy and comply with the following requirements for training, education, supervision, and competencies, if seeking to be licensed:

- (A) as a Tribal Primary Dental Health Aide I, as provided in CHAPCB 2.30.100 and 2.30.110;
- (B) as a Tribal Primary Dental Health Aide II, as provided in CHAPCB 2.30.200 and 2.30.210;
- (C) as a Tribal Dental Health Aide Hygienist, as provided in CHAPCB 2.30.300 and 2.30.310;
- (D) as a Tribal Expanded Function Dental Health Aide I, as provided in CHAPCB 2.30.400 and 2.30.410;
- (E) as a Tribal Expanded Function Dental Health Aide II, as provided in CHAPCB 2.30.500 and 2.30.510; and

- (F) to perform:
- (1) sealants, as provided in CHAPCB 2.30.220;
 - (2) dental prophylaxis, as provided in CHAPCB 2.30.230;
 - (3) dental radiology, as provided in CHAPCB 2.30.240;
 - (4) dental assistant functions, as provided in CHAPCB 2.30.250;
 - (5) atraumatic restorative treatment, as provided in CHAPCB 2.30.260;
 - (6) stainless steel crown placements, as provided in CHAPCB 2.30.550;
- (G) An applicant to be licensed as a Tribal Dental Health Aide must demonstrate that the person has formal education, training and/or personal or professional experience that would be reasonably expected to result in cultural competency;
- (H) A person who otherwise satisfies the requirements of this Section to be licensed must agree to limit his or her scope of practice to that authorized under his or her Tribal license and any further limitations imposed by the Chief Dental Officer or his or her Tribal supervisor.

[History] Ord. 355 (11/3/15); Ord. 354 (10/6/15); Ord. 351 (9/1/15).

15-11.160 Tribal Dentist Training, Education, Supervision and Competency Requirements.

A person who otherwise satisfies the requirements of this Chapter to be licensed as a Tribal Dentist must:

- (A) possess and maintain an active license as a dentist in a State of the United States, as prescribed in Section 15-11.060(A)(10);
- (B) report to the Division any limitation on the license required under subsection (A);
- (C) demonstrate that the person has formal education, training and/or personal or professional experience that would be reasonably expected to result in cultural competency;
- (D) agree to limit his or her scope of practice to that authorized under his or her State or Tribal license and any further limitations imposed by the Chief Dental Officer or his or her tribal supervisor; and
- (E) have experience working with and supervising Dental Health Aides, including Dental Health Aide Therapists, or Tribal Dental Therapists or have completed a

course acceptable to the Board regarding supervision of Dental Health Aides or Tribal Dental Therapists.

[History] Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.170 Tribal Dental Hygienist Training, Education, Supervision and Competency Requirements.

A person who otherwise satisfies the requirements of this Chapter to be licensed as a Tribal Dental Hygienist must:

- (A) possess and maintain an active license as a Tribal Dental Hygienist, as prescribed in Section 15-11.060(A)(8);
- (B) report to the Division any limitation on the license required under subsection (A);
- (C) demonstrate that the person has formal education, training and/or personal or professional experience that would be reasonably expected to result in cultural competency; and
- (D) agree to limit his or her scope of practice to that authorized under his or her State or Tribal license, and act in accordance with that agreement and with any further limitations imposed by the Chief Dental Officer or his or her direct dental supervisor.

[History] Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.171 Tribal Dental Therapist Training, Education, Supervision and Competencies Requirements.

A person who otherwise satisfies the requirements of this Chapter must also satisfy and comply with the following requirements for training, education, supervision, and competencies, if seeking to be licensed as a Tribal Dental Therapist:

- (A) as provided in CHAPCB 2.30.600 and 610; and
- (B) An applicant to be licensed as a Tribal Dental Therapist must demonstrate that the person has formal education, training and/or personal or professional experience that would be reasonably expected to result in cultural competency;
- (C) A person who otherwise satisfies the requirements of this Section to be licensed must agree to limit his or her scope of practice to that authorized under his or her Tribal license and any further limitations imposed by the Chief Dental Officer or his or her Tribal supervisor.

[History] Ord. 355 (11/3/15).

15-11.180 Continuing Education.

- (A) A Tribal Dentist or Tribal Dental Hygienist must satisfy all continuing education requirements required by each jurisdiction in which the Dentist or Dental Hygienist is licensed and any additional requirements imposed by the Director of the Dental Program.
- (B) A Tribal Dental Health Aide must comply with all requirements for continuing education identified in CHAPCB 3.10.050 and, if licensed to perform dental radiology the additional requirements of CHAPCB 2.30.240(c).
- (C) A Tribal Dental Therapist must comply with all requirements for continuing education identified in CHAPCB 3.10.050 and, if licensed to perform dental radiology the additional requirements of CHAPCB 2.30.240(c).
- (D) Approved continuing education programs for a Tribal Dental Health Aide or Tribal Dental Therapist must satisfy the requirements of CHAPCB 3.10.200, provided:
 - (1) sponsoring organizations under CHAPCB 3.10.200(b) may also include any state dental society or association or any state dental hygiene society or association; and
 - (2) the Board may approve a continuing education program or self-study education program developed or recommended by the Tribe's Dental Services Program provided it satisfies conditions consistent with the requirements of CHAPCB 3.10.200(c) or (d).

[History] Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.190 Tribal Dental Health Aide and Tribal Dental Therapist Training and Curriculum.

Tribal Dental Health Aide and Tribal Dental Therapist training and curriculum must satisfy the requirements of CHAPCB Chapter 7 or their equivalent, provided that training or curriculum that does not directly satisfy the requirements of CHAPCB must be presented to the Board for review and approval.

[History] Ord. 355 (11/3/15); Ord. 351 (9/1/15).

*Subchapter IV – Discipline, Suspension or Revocation of a License
of a Tribal Dental Health Provider*

15-11.200 Reports to and Investigations by Division of Licensing.

- (A) Whenever a person has a reasonable basis to believe that a Tribal Dental Health Provider has committed an action that would, if substantiated, constitute a ground for discipline under Section 15-11.220, that person may file a written and signed report with the Division of Licensing, stating fully the facts of the alleged action or inaction and the basis for believing them to be true.
- (B) The Division shall investigate, or caused to be investigated, such reports. The Division may consult with or retain appropriate oral health experts to conduct the investigation.
- (C) The Division shall also investigate, or cause to be investigated, such information that may come to be known to the Division and that gives rise to a reasonable suspicion that grounds for discipline may exist under Section 15-11.220.
- (D) The Division’s investigations shall be tailored to the circumstances reported to or known by the Division.
 - (1) The Division may interview the Tribal Dental Health Provider, reporting party or other persons who may have information that is relevant to, or is reasonably likely to lead to the discovery of information that is relevant to, the existence of a ground for discipline.
 - (2) The Division may direct that the Tribal Dental Health Provider provide documents or information, and request that the reporting party or other persons provide documents or information, that is relevant to, or is reasonably likely to lead to the discovery of information that is relevant to, the existence of a ground for discipline.

[History] Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.210 Determination by Division of Licensing.

- (A) If, after conducting an investigation pursuant to Section 15-11.200, the Division of Licensing has reasonable cause to believe that any grounds for discipline exist under Section 15-11.220, the Division shall notify the Tribal Dental Health Provider in writing, indicating the nature of those grounds and the action, if any, necessary for the Provider to correct those grounds.
- (B) The notice shall also inform the Tribal Dental Health Provider of his or her right to provide a responsive statement, documents or other evidence to the Division within a specified period of time, not to exceed fifteen (15) calendar days, as

established by the Division as appropriate to the circumstances, taking into account the seriousness and urgency of the stated grounds for discipline in relation to the health, welfare, and safety of the Reservation.

- (C) Upon receipt of a responsive statement or additional evidence, the Division shall, with or without such further investigation as the Division may deem appropriate, dismiss the report or impose disciplinary sanctions under Section 15-11.220-230.

[History] Ord. 354 (10/6/15); Ord. 351 (9/1/15).

15-11.220 Grounds for Discipline.

The Division may impose a disciplinary sanction on any person holding a Tribal license under this Chapter if the Division finds that the person has violated any of the conditions applicable to dental health aides set out in CHAPCB 4.10.010 or has committed an act that under Tribal law would disqualify the person from receiving a license under this Chapter, provided that:

- (A) in CHAPCB 4.10.010 references to “the Board” shall be read to refer to “the Division,” references to a “certificate” shall be read to refer to a “license” and references to “certification” or “certified” shall be read to refer to “licensure” or “licensed”;
- (B) in CHAPCB 4.10.010 references to “dental health aide” shall be read to include a dentist, hygienist or dental therapist who is licensed pursuant to this Chapter;
- (C) violation of Tribal drug laws shall be subject to the same sanction as described in CHAPCB 4.10.010(i)(7); and
- (D) failure to comply with mandatory reporting laws of the State of Washington or of the Tribe shall be subject to the same sanction as described in CHAPCB 4.10.010(i)(16).

[History] Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.230 Sanctions.

If the Division finds that a person holding a license as a Tribal Dental Health Provider has violated one or more of the grounds for discipline referenced in Section 15-11.200, the Division may impose the following sanctions singly or in combination:

- (A) permanently revoke a license to practice;
- (B) suspend a license for a determinate period of time;
- (C) publically or privately censure a person holding a license;

- (D) publically or privately issue a letter of reprimand;
- (E) place a person holding a license on probationary status and require the person to
 - (1) report regularly to the Division upon matters involving the basis of probation;
 - (2) comply with conditions of practice or limit practice to those areas prescribed;
 - (3) continue professional education until a satisfactory degree of skill has been attained in those areas determined by the Division to need improvement.
- (F) The sanction(s) shall take effect twenty (20) days after the date of notice of the Division's action; *provided*, however, that the Division may summarily suspend a license in accordance with Section 15-11.260, and *provided further* that the person who is sanctioned may request a stay of the sanction pending hearing in accordance with Section 15-11.240(B)(2).

[History] Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.240 Request for Hearing Before the Dental Health Provider Licensing Board.

- (A) A person whose license application, and a Tribal Dental Health Provider whose license under this Chapter, is limited, conditioned or otherwise affected by, and who is personally aggrieved by, a decision of the Division may request a hearing before the Dental Health Provider Licensing Board by filing a request for a hearing within twenty (20) calendar days from the date of notice of the challenged decision. Failure to timely file a request for a hearing waives all further right to appeal the decision at issue.
- (B) Any request for a hearing must be received by the Division of Licensing, and shall include:
 - (1) The reasons for the hearing request, specifically identifying any claimed errors involving any of the following in the challenged decision:
 - (a) Conclusions as to Swinomish law (including CHAPCB provisions incorporated by reference), as to Federal law, or in the application of such law;
 - (b) Any factual findings or statements of facts; or

- (c) Any arbitrary or capricious action; and
 - (d) All documents or other evidence supporting the appeal.
- (2) The relief sought by the appellant, including a request for a stay of any sanction imposed or action taken by the Division.
- (C) The Board shall give the appellant written notice of the time and location of any hearing. Such notice shall be transmitted to the appellant in such a manner that the notice is reasonably calculated to be received by the appellant at least seven (7) days in advance of the date of the hearing. The Board's notice shall inform the appellant of his or her right to present evidence and argument, and to be represented or assisted by an attorney or spokesperson authorized to practice in the Swinomish Tribal Court. The Division of Licensing may be represented by the Office of Tribal Attorney or other attorney or spokesperson authorized to practice in the Swinomish Tribal Court.
- (D) The hearing shall be held within thirty (30) days of the filing of the request for hearing; *provided however*, that the Board may, in its discretion, set the hearing for a later date upon request of a party and for good cause shown.
- (E) The hearing shall be conducted by the Board or, at the Board's discretion, by a panel of the Board or by a hearing officer appointed by the Board, in which case the panel or hearing officer shall carry out the Board's functions set forth in the following subsections.
- (F) The hearing shall be on the record established before the Board and the materials submitted with the request for hearing.
- (G) A hearing under this Section shall proceed as follows:
 - (1) The Board's proceeding shall be guided by the objective of admitting probative and reliable evidence that will facilitate the determination of the truth in a fundamentally fair proceeding without ancillary disputes over evidentiary issues.
 - (2) The Division of Licensing shall first offer the Board relevant documents and evidence adduced in the course of any investigation by the Division, including the decision appealed from, the application or license at issue, and may present argument to the Board. Board members may ask questions of the Division staff and its representative, if any, at the hearing.
 - (3) The appellant may offer the Board relevant documents and evidence adduced in the course of the investigation by the Division, including the decision appealed from, the application or license at issue, and may

present argument to the Board. Board members may ask questions of the appellant and the appellant's representative, if any, at the hearing.

- (4) The Board may request additional information, evidence or arguments from a party and may, upon request of a party or on its own initiative, call witnesses, all as determined by the Board to be reasonably necessary for a fair determination of the matter in dispute.
 - (5) An applicant for a license, renewal of a license, or removal of limitations or restrictions on a license bears the burden of proof of entitlement to the relief requested. The Division bears the burden of proof of entitlement to any sanction imposed on an individual holding a license.
 - (6) Following the hearing, at a time and in a place and manner selected by the Board, the Board shall deliberate on the appeal. Deliberations may be conducted off the record in executive session.
 - (7) Following deliberations, the Board shall issue a written notice of decision stating the Board's determination and briefly explaining the grounds for its determination. The Board, in its discretion, may issue a decision on the merits or may remand the matter to the Division for further proceedings in accordance with the Board's ruling. The Board may direct the Division staff to prepare a written notice of decision, and the Board may orally announce its decision.
 - (8) The Board shall mail the written notice of decision to the appellant, Division and any attorney or spokesperson who has entered an appearance in the hearing proceedings.
- (H) The Board shall make an audio record of all hearings.
- (I) The Division may require that the reasonable costs of preparing copies of the administrative record or of transcribing a record of a hearing be paid by the party requesting copies or a transcript.
- (J) The Board shall affirm the decision of the Division unless the Board determines that the decision is either:
- (1) Contrary to Swinomish law (including CHAPCB provisions incorporated by reference) or Federal law; or
 - (2) Not supported by a preponderance of evidence in the record of proceedings held before the Board; or
 - (3) Arbitrary or capricious.

- (K) If the Board determines that the Division's decision will not be affirmed for one of the reasons set forth in Section 15-11.240(J)(1 – 3), then the Board may reverse the decision of the Division, remand the matter to the Division for further investigation and review, or may modify the Division's decision in accordance with the Board's factual findings and legal conclusions.
- (L) A decision of the Board on the merits affirming the Division's decision in whole or with modifications shall be immediately effective, and shall not be subject to a stay by the Board, the Swinomish Tribal Court or the Court of Appeals.

[History] Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.250 Modifying Sanctions or License Limitations.

The Division may withdraw probationary status, remove limitations of or conditions on practice or modify other sanctions imposed under this Chapter if it finds that the licensee has demonstrated that prior deficiencies have been corrected and that it is in the best interest of the Tribe, its members and patients of the Dental Clinic to do so.

[History] Ord. 372 (9/11/17); Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.260 Summary Suspension.

The Division may summarily and immediately suspend a license effective before any hearing that may be requested if the Division finds that the licensee poses a clear and immediate danger to patients, public health or safety if the licensee continues to practice.

A person whose license is suspended under this Section shall be entitled to request a hearing and to appeal pursuant to Subchapter VI. The suspension shall remain in effect until the conclusion of the hearing and appeal process.

[History] Ord. 351 (9/1/15).

15-11.270 Prohibition of Unlicensed Practice.

- (A) No person shall provide dental services as a Dental Health Aide, Dentist, Dental Hygienist or Dental Therapist at the Swinomish Dental Services Program without first applying for and being granted a license to provide such services by the Division of Licensing, and continuing to maintain an active license in good standing. A dental health provider whose license becomes inactive shall not provide dental services until the license is renewed or a new active license is issued.
- (B) Notwithstanding the provisions of Section 15-11.270(A), in order to preserve continuity of treatment and minimize disruption of dental services, a Dental Health Aide, Dentist, Dental Hygienist or Dental Therapist who has a valid state

license or CHAPCB certification may provide services, within the scope of the license or certification, for the Swinomish Dental Services Program in the event of an unexpected absence of a Tribally-licensed provider whose position cannot be timely filled by another Tribally-licensed provider; *provided, however*, that each such state-licensed or CHAPCB-certified provider shall provide temporary services without obtaining a Tribal license for no more than fourteen (14) business days in a calendar year. The Chief Dental Officer shall immediately inform the Division of the name of any such provider of temporary services, the nature of that provider's license or certification and each date on which the provider will render temporary services.

- (C) No person shall provide, teach, supervise or direct any dental procedure at the Swinomish Dental Clinic as a teaching member of the faculty of the *dax'xayabus* Dental Therapy Education without first applying for and being granted a license to provide such services by the Division of Licensing, and continuing to maintain an active license in good standing.

[History] Ord. 431 (11/8/22); Ord. 363 (11/1/16); Ord. 351 (9/1/15).

15-11.280 Surrender of a License.

A person licensed under this Chapter shall surrender the license to the Division if, at any time during the period in which it would otherwise be in effect, the person no longer meets any requirement of initial certification under Section 5-11.100, except Subsection 5-11-100(D).

[History] Ord. 351 (9/1/15).

Subchapter V – Enforcement

15-11.290 Enforcement.

- (A) The Division of Licensing shall take any action authorized by law to ensure compliance with or to prevent violation of this Chapter.
- (B) In the event the Division determines that a person is in violation of this Chapter, failure to correct the violation within the time period allowed shall subject the violator to civil remedies set forth in Sections 15-11.300 and 15-11.310.

[History] Ord. 351 (9/1/15).

15-11.300 Civil Enforcement.

- (A) The provisions and remedies in this Chapter may be enforced by the Division through civil proceedings against:

- (1) any person who has violated or is violating any provision of this Chapter;
or
 - (2) as otherwise may be provided in the Swinomish Tribal Code.
- (B) In addition to relief provided by any other provision of this Chapter or the Swinomish Tribal Code, this Chapter may be enforced through declaratory and injunctive relief requiring any person to come into compliance with, or to cease non-compliance with, this Chapter.

[History] Ord. 351 (9/1/15).

15-11.310 Civil Penalties.

In addition to any other civil or criminal penalty or remedy that may be provided in any other section of the Swinomish Tribal Code, any person found by the Tribal Court to have violated any provision of this Chapter shall be subject to civil penalties imposed by the Tribal Court in an amount not less than one hundred dollars (\$100.00) or more than five hundred dollars (\$500.00) per violation; provided that for the purpose of assessing a civil penalty, each day that the person is in violation of this Chapter may be considered a separate violation.

[History] Ord. 351 (9/1/15).

***Subchapter VI – Appeals, Time and Finality, and
Sovereign Immunity***

15-11.320 Appeals.

- (A) A person whose license application, and a Tribal Dental Health Provider whose license under this Chapter, is limited, conditioned or otherwise affected by, and who is personally aggrieved by a decision of the Board may appeal such decision to Swinomish Tribal Court. The appeal to Tribal Court must be filed within thirty (30) calendar days from the date of the decision. Any appeal to the Tribal Court shall identify the name of the person seeking review, shall specify the interest of the person in the decision appealed from, and shall name as respondent only the Director of the Department of Planning and Economic Development in his or her official capacity. The appellant shall attach a copy of the notice of action or decision issued by the Board that is being appealed, and shall state in the notice of appeal each and every one of the factual or legal grounds upon which the action or decision is claimed to be unlawful or otherwise invalid or incorrect. The appellant shall serve a copy of the appeal upon the Division of Licensing and the Office of Tribal Attorney in accordance with Swinomish Tribal Code Title 3, Chapter 2 - Rules of Civil Procedure then in effect.

- (B) The Tribal Court shall set a time and place for a hearing and provide written notice of the hearing to the person filing the appeal, the Division of Licensing and the Office of Tribal Attorney.
- (C) The review on appeal by the Swinomish Tribal Court shall be limited to the issues identified in the notice of appeal. The Court shall not consider any issue that was not raised in the notice of appeal.
- (D) The Tribal Court shall direct the Division of Licensing to assemble and file an administrative record of all evidence before the Division at the time of the decision appealed from. The Division shall provide a copy of the administrative record to the appellant and to the Office of Tribal Attorney.
- (E) The Tribal Court may accept additional evidence that is material and relevant to the issues on appeal only upon a showing by the appellant or the Director of the Department of Planning and Economic Development that the evidence could not reasonably have been presented to the Board and that the evidence may reasonably be expected to change the decision appealed.
- (F) The Tribal Court review shall be conducted by the Court, without a jury. Subject to the limitation in Subsections (D) and (E) and the nature of the proceeding as judicial review of an administrative decision, the review shall be in accordance with those provisions of the Swinomish Rules of Civil Procedure that are determined to be applicable by the Tribal Court; *provided*, however, that discovery under such Rules shall ordinarily not be allowed and shall be permitted only as determined by the Court to be necessary to allow judicial review of the issues raised on appeal.
- (G) The Tribal Court shall consider the evidence in the administrative record, such additional evidence as may be admitted pursuant to Subsection (E), and the arguments presented.
- (H) After a full and complete review the Tribal Court shall issue a written decision, setting forth the reasons for the decision. Notice and a copy of the decision shall be sent to the appellant, Division of Licensing and Office of Tribal Attorney.
- (I) The Tribal Court shall affirm the decision of the Division of Licensing upon review unless the appealing party demonstrates to the Court that the decision of the Division:
 - (1) Is contrary to Swinomish law (including CHAPCB provisions incorporated by reference) or Federal law; or
 - (2) Is not supported by substantial evidence before the Division of Licensing;
or

- (3) Is arbitrary or capricious; or
 - (4) Should be reconsidered by the Board in light of additional evidence considered by the Court that was not presented to the Board, could not reasonably have been presented to the Board and that may reasonably be expected to change the decision appealed.
- (J) If the Court determines that the appellant has met the burden set forth in Subsection (I), then the Court shall reverse the decision appealed from and shall remand the matter to the Board for further proceedings in accordance with the Court's ruling. The Tribal Court shall not have authority to grant any relief to the appellant other than an order reversing the decision appealed from and remanding the matter to the Board for further proceedings. If the Court determines that the appellant has not met the burden set forth in Subsection (I), then the Court shall issue an order affirming the decision appealed from.

[History] Ord. 355 (11/3/15); Ord. 351 (9/1/15).

15-11.330 Appeals from the Tribal Court.

- (A) Any appeal from a decision of the Tribal Court shall be filed and adjudicated in accordance with the Swinomish Rules of Appellate Procedure.
- (B) The review by the Swinomish Tribal Court of Appeals shall be limited to the evidentiary record made in the proceedings before the Tribal Court. The Court of Appeals shall not consider any issue, argument or evidence that was not raised or presented in the record of proceedings before the Tribal Court.
- (C) The Tribe may charge an appealing party the reasonable costs of preparing copies of the record for the Swinomish Tribal Court of Appeals and for the appealing party.
- (D) The Court of Appeals shall affirm the decision of the Tribal Court upon review unless the appellant demonstrates to the Court that the decision of the Division of Licensing:
 - (1) Is contrary to Swinomish law (including CHAPCB provisions incorporated by reference) or Federal law;
 - (2) Is not supported by substantial evidence before the Division of Licensing; or
 - (3) Is arbitrary or capricious; or
 - (4) Should be reconsidered by the Board in light of additional evidence considered by the Court that was not presented to the Board, could not

reasonably have been presented to the Board and that may reasonably be expected to change the decision appealed.

- (E) If the Court determines that the aggrieved party has met the burden set forth in Subsection (D), then the Court of Appeals shall reverse the decision appealed from and shall remand the matter to the Tribal Court for remand to the Board for further proceedings in accordance with the Court's ruling. The Court of Appeals shall not have authority to grant any relief other than an order reversing the decision appealed from and remanding the matter to the Tribal Court for remand to the Board.
- (F) The decision of the Court of Appeals shall be final, and is not subject to further review.

[History] Ord. 351 (9/1/15).

15-11.340 Tribal Administrative Remedies and Tribal Court.

All cases or controversies arising under the terms and provisions of this Chapter shall be heard only in the Swinomish Tribal Court, and only as provided in this Chapter.

[History] Ord. 351 (9/1/15).

15-11.350 Time and Finality.

- (A) The date of notice of any decision shall be the date on which the decision is mailed by the person or entity making the decision to the last known address of the person who is the subject of the decision. The date of notice shall be stated in the decision.
- (B) All time periods set forth in this Chapter shall be calculated in accordance with Rule 3-02.100(A) and (B), "Computation and Extension of Time", of the Swinomish Rules of Civil Procedure.
- (C) If a decision of the Division of Licensing or the Tribal Court is not appealed within the time period set forth in this Subchapter, then that decision is final and conclusive, and is not subject to further review.

[History] Ord. 354 (10/6/15); Ord. 351 (9/1/15).

15-11.360 Sovereign Immunity.

The sovereign immunity of the Tribe is not in any way waived or limited by this Chapter, or by any action commenced pursuant to this Chapter, and nothing in this Chapter shall constitute or be construed as a waiver of the sovereign immunity of the Tribe. Such sovereign immunity shall extend to the Tribe, the Senate, the Department of Planning and

Community Development, the Division of Licensing, the Dental Health Provider Licensing Board and each of the members of the Board, all Tribal officials, employees, any individual or entity with whom the Tribe has contracted to provide services pursuant to or in accordance with this Chapter, as to all actions taken in, or concerning, the administration or enforcement of this Chapter, and as to all actions taken pursuant to any authority of any action, decision or order authorized by this Chapter.

[History] Ord. 351 (9/1/15).

Subchapter VII – Tribal Dental Health Providers Employed By Tribal Dental Health Programs of Federally Recognized Tribes In Washington and Oregon

15-11.370 Authority.

The Swinomish Indian Tribal Community's Division of Licensing and Dental Health Provider Licensing Board are authorized to license, oversee and discipline Tribal Dental Health Providers employed by tribal dental health programs of federally recognized tribes in Washington and Oregon who apply for a Tribal Dental Health Provider license, and to suspend or revoke the Swinomish-issued licenses, in accordance with this Subchapter VII, so long as the following conditions are met:

- (A) The individual applying to be licensed as a Tribal Dental Health Provider is an employee of a tribal dental health program being carried out by a federally recognized tribe in Washington or Oregon under the Indian Self-Determination and Education Assistance Act; and
- (B) The federally recognized tribe in question has entered into a Memorandum of Agreement with the Tribe agreeing to the following, at a minimum:
 - (1) That the individual licensed or to be licensed as a Tribal Dental Health Provider is subject to the following in connection with such licensure:
 - (a) the authority of the Swinomish Indian Tribal Community, including but not limited to the Division of Licensing, Dental Health Provider Licensing Board, the Swinomish Tribal Court and the Swinomish Tribal Court of Appeals, and
 - (b) all applicable Swinomish Tribal law, in addition to Title 15, Chapter 11 of the Swinomish Indian Tribal Community Tribal Code, and
 - (c) the enforcement of such law by the Swinomish Indian Tribal Community in accordance with Subchapters V and VI of Chapter 11, including without limitation the authority of the Swinomish Tribal Court and Swinomish Tribal Court of Appeals, as provided herein.

- (2) To be bound by Title 15, Chapter 11 of the Swinomish Indian Tribal Community Tribal Code, including without limitation the Tribe's continuing responsibility for oversight of the Tribal Dental Health Provider's licensure.
- (3) To comply with Washington Substitute Senate Bill 5079 (effective July 23, 2017) or the "Oregon Tribes Dental Health Aide Therapist Pilot Project" (approved by the State of Oregon on February 8, 2016), as applicable.
- (4) That the tribe has tribal law in place that prohibits any person from providing services as a Dental Therapist on that tribe's reservation without a valid Tribal Dental Health Provider license and that any such providers with such a valid license shall maintain the license in good standing. Such tribal law shall at a minimum be consistent with Section 15-11.270(A) and (B) of this Title 15, Chapter 11.
- (5) That the tribe and any of its employees applying to be licensed or who are licensed as a Tribal Dental Health Provider will abide by all decisions of the Swinomish Indian Tribal Community in connection with such a license, including without limitation the decisions made by the Dental Health Provider Licensing Board, the Division of Licensing, the Swinomish Tribal Court and the Swinomish Tribal Court of Appeals, in accordance with Title 15, Chapter 11 of the Swinomish Indian Tribal Community Tribal Code.
- (6) That the tribe will pay all fees or charges assessed or incurred by the Swinomish Indian Tribal Community for the filing, investigation, consideration and determination of an application for licensure filed by an individual who is or will be an employee of the tribe.
- (7) That any dental health aide therapist employed by the tribe, applying to be licensed or who is licensed by the Swinomish Indian Tribal Community under this Subchapter VII, is an employee within the tribe's comprehensive dental program and will provide only certain dental services in accordance with his or her Swinomish license and applicable State law, and will be supervised by a dentist who is either licensed by the Swinomish Indian Tribal Community or who is otherwise shown to be qualified by training or experience to supervise a Dental Therapist, and that the dentist will provide the other, higher levels of dental care to the tribe's patients.

- (8) That the tribe will determine and certify to the Tribe whether the individual meets the tribe's required standard of cultural competence in order to practice in the tribe's dental health program.

[History] Ord. 372 (9/11/17).

15-11.380 Licensing Qualifications and Standards.

Licensure of Tribal Dental Health Providers under this Subchapter VII shall be subject to and conducted in accordance with Chapter 11, Subchapter III as follows:

- (A) Initial Application and Determination. Section 15-11.100 shall apply to the initial application and determination, except that —
 - (1) the violation of “Tribal laws” referenced in Section 15-11.100(A)(2)(d) may include consideration of the tribal laws of the tribe which employs the individual who has applied for a license from the Swinomish Tribe under this Subchapter VII as a Tribal Dental Health Provider; and
 - (2) references to employment by the Swinomish Indian Tribal Community in Sections 15-11.100(A)(4), (5), 7(a) and 8(a) shall be read to mean employment by a federally recognized tribe in Washington or Oregon that has met the conditions outlined in this Subchapter VII.
- (B) Supervision Requirements. Section 15-11.110 shall govern supervision requirements, except that —
 - (1) references to employment by the Swinomish Indian Tribal Community in Sections 15-11.110(A)(1) and (C)(1) shall be read to mean employment by a federally recognized tribe in Washington or Oregon that has met the conditions outlined in this Subchapter VII; and
 - (2) references to conditions imposed by the Tribe's Chief Dental Officer shall be read to refer to any conditions imposed by the federally recognized tribe's most senior dental or health officer as identified by that tribe.
- (C) Scope of Practice Prior to Licensing. Section 15-11.120 shall govern the scope of practice prior to licensing, except that the reference to employment by the Tribe shall be read to mean employment by a federally recognized tribe in Washington or Oregon that has met the conditions outlined in this Subchapter VII.
- (D) Licensure by Credentials. Section 15-11.130 shall govern licensure by credentials.
- (E) License by Reciprocity. Section 15-11.140 shall govern licensure by reciprocity, except that the reference to the “other laws or public policy of the Tribe” may

include consideration of the tribal laws and policies of the tribe which employs the individual who has applied for a license as a Tribal Dental Health Provider.

- (F) Training, Education, Supervision and Competencies Requirements. Sections 15-11.150, 15-11.160, 15-11.170 and 15-11.171 shall govern the training, education supervision and competency requirements of Tribal Dental Health Aides, Tribal Dentists Tribal Dental Hygienists, and Tribal Dental Therapists under the applicable Section, except that the references to limitations imposed by the Tribe's Chief Dental Officer or his or her tribal supervisor shall be read to refer to any limitations imposed by the federally recognized tribe's most senior dental or health officer or his or her tribal supervisor as identified by that tribe.
- (G) Continuing Education. Section 15-11.180 shall govern the continuing education requirements of the Tribal Dental Health Provider, except that the Board may approve a continuing education program or self-study education program developed or recommended by the Tribal Dental Health Provider's tribal employer, provided that the program satisfies conditions consistent with the requirements of CHAPCB 3.10.200(C) or (D).
- (H) Tribal Dental Health Aide and Tribal Dental Therapist Training and Curriculum. Section 15-11.190 shall govern the training and curriculum requirements for Tribal Dental Health Aides and Tribal Dental Therapists, as applicable.

[History] Ord. 372 (9/11/17).

15-11.390 Discipline, Suspension or Revocation of a License.

Discipline, Suspension or Revocation of a license of Tribal Dental Health Providers under this Subchapter VII shall be subject to and conducted in accordance with Chapter 11, Subchapter IV as follows:

- (A) Reports to and Investigations by Division of Licensing. Section 15-11.200 shall apply.
- (B) Determination by Division of Licensing. Section 15-11.210 shall apply, except the word "Reservation" shall be read to mean the lands and waters within the exterior boundaries of the tribe that employs the Tribal Dental Health Provider.
- (C) Grounds for Discipline. Section 15-11.220 shall govern the grounds for discipline, except that —
 - (1) references to the "Tribal law," "Tribal drug laws," and "laws . . . of the Tribe" may include consideration of the tribal laws of the tribe that employs the Tribal Dental Health Provider; and

- (2) the reference to the mandatory reporting laws of the State of Washington in Section 15-11.220(D) will be read to mean the mandatory reporting laws of the State of Oregon for those Tribal Dental Health Providers who are employed by a federally recognized tribe located within the State of Oregon.
- (D) Sanctions. Section 15-11.230 shall govern sanctions that may be imposed by the Division on a person holding a license as a Tribal Dental Health Provider under Subchapter VII. When the Division places a Tribal Dental Health Provider on probationary status, such status is to be enforced by the tribe that employs the Tribal Dental Health Provider, and the Tribal Dental Health Provider shall comply with Section 15-11.230(E).
- (E) Request for Hearing Before the Dental Health Provider Licensing Board. Section 15-11.240 shall govern requests for hearing before the Dental Health Provider Licensing Board, except that —
 - (1) Under Section 15-11.240(B)(1)(a), the reasons for the hearing request shall also specifically identify any claimed errors as to conclusions of law under the tribal law of the tribe who employs the applicant/Tribal Dental Health Provider; and
 - (2) Under Section 15-11.240(J)(1), the reference to Swinomish law should be read to include the tribal law of the tribe who employs the applicant/Tribal Dental Health Provider if taken into consideration by the Division under Section 15-11.240(B)(1)(a).
- (F) Modifying Sanctions or License Limitations. Section 15-11.250 shall apply.
- (G) Summary Suspension. Section 15-11.260 shall apply.
- (H) Prohibition of Unlicensed Practice. Section 15-11.270 shall not apply under this Subchapter VII, except as otherwise referenced in Section 15-11.370(B)(4).
- (I) Surrender of a License. Section 15-11.280 shall apply.

[History] Ord. 372 (9/11/17).

15-11.400 Enforcement, Appeals, Time and Finality, Sovereign Immunity.

Any provider who applies for or is licensed under this Subchapter VII shall, as a condition of their application and/or licensure, be subject to the following:

- (A) Enforcement. The enforcement provisions of Subchapter V of Chapter 11, Sections 15-11.290 through 15-11.310 shall apply.

- (B) Administrative Remedies/Appeals. The Appeals procedures and provisions set forth in Subchapter VI of Chapter 11, Sections 15-11.320, 15-11.330, and 15.340, shall apply, including without limitation the authority of the Swinomish Tribal Court and the Swinomish Tribal Court of Appeals, except that —
- (1) the Swinomish Tribal Court may consider – in Section 15-11.320(I)(1) – the tribal law of the applicant’s employer tribe if taken into consideration by the Division under Section 15-11.240(B)(1)(a).
 - (2) The Swinomish Tribal Court of Appeals may consider – in Section 15-11.330(D)(1) – the tribal law of the applicant’s employer tribe if taken into consideration by the Division under Section 15-11.240(B)(1)(a).
- (C) Time and Finality. Section 15-11.350 regarding time and finality of decisions shall apply.
- (D) Sovereign Immunity. Section 15-11.360 preserving sovereign immunity shall apply.

[History] Ord. 372 (9/11/17).

15-11.410 Other Provisions.

With respect to any provider who applies for or is licensed under this Subchapter VII, the entirety of Title 15, Chapter 11 of the Swinomish Tribal Code, as amended, and any cross-references to other provisions of tribal law or policy, as amended, shall apply to and govern all such individuals and the federally recognized Indian tribes who employ them and have entered into a Memorandum of Agreement in accordance with Section 15-11.370(B), except as specifically provided otherwise in this Subchapter VII.

[History] Ord. 372 (9/11/17).

Subchapter VIII – Certification of Dental Therapy Education Program

15-11.420 Application and Determination.

The Board may certify a Dental Therapy Education Program that:

- (A) applies on a form provided by the Division and provides or makes available all information and records requested in connection with the application;
- (B) furnishes evidence satisfactory to the Board that the Dental Therapy Education Program:
 - (1) has been approved and authorized by the Swinomish Senate;

- (2) has goals and objectives of providing
 - (a) high quality and culturally responsive health care,
 - (b) competency based instruction,
 - (c) emphasis on clinical instruction and skills, and
 - (d) a positive learning environment with respect for adult learners;
- (3) has on file and will make available for review by the Board training outlines, learning objectives/lesson plans, quality assurance/continuous quality improvement plans and files, and a training plan for employees;
- (4) has on file and will make available for confidential review by the Board anonymized application forms, session quizzes/exams, evaluation records and student training files;
- (5) is currently accredited by the Commission on Dental Accreditation; and
- (6) will operate in compliance with the provisions of this Chapter and other applicable provisions of the Swinomish Tribal Code.

[History] Ord. 431 (11/8/22).

15-11.430 Term and Renewal of Certification.

Certification of a Dental Therapy Education Program shall be valid for as long as the Dental Therapy Education Program is accredited by the Commission on Dental Accreditation; *provided*, however, that the certification shall be suspended, terminated or otherwise modified earlier should the accreditation of the Dental Therapy Education Program by the Commission on Dental Accreditation be suspended, revoked or otherwise modified.

[History] Ord. 431 (11/8/22).

15-11.440 Appeals.

A Dental Therapy Education Program whose application for certification is denied or whose existing certification is limited, conditioned or otherwise affected by a decision of the Board may appeal such decision to Swinomish Tribal Court as provided in, and in accordance with, the provisions of Sections 15-11.320–360.

[History] Ord. 431 (11/8/22).

Subchapter IX – Repealer, Severability and Effective Date

15-11.450 Repealer.

[Reserved]

*Previously codified as 15-11.420.

[History] Ord. 431 (11/8/22).

15-11.460 Severability.

The invalidity of any section, clause, sentence, or provision of this Chapter shall not affect the validity of any part of this Chapter that can be given effect without such invalid part or parts.

*Previously codified as 15-11-430.

[History] Ord. 431 (11/8/22); Ord. 351 (9/1/15).

15-11.470 Effective Date.

This Chapter shall take effect upon approval by the Secretary of the Interior; provided, however, that the prohibition in Section 15-11.270 of practice without a license issued pursuant to this Chapter shall take effect on January 01, 2016. Any amendments to this Chapter adopted after July 7, 2017 shall take effect immediately upon approval by the Swinomish Senate in accordance with the Swinomish Constitution, as amended, unless otherwise provided by the adopting ordinance.

*Previously codified as 15-11-440.

[History] Ord. 431 (11/8/22); Ord. 372 (9/11/17); Ord. 351 (9/1/15).